



**Kentucky Angel Investment Act
List of Qualified Small Businesses
As of 9/10/2015**

The following businesses have been certified as Qualified Small Businesses under the Kentucky Angel Investment Act (KRS 154.20-230 through 240). A small business must be on this list to be eligible for consideration of a Qualified Investor. The Cabinet for Economic Development does not perform financial screening or due diligence on the companies listed here and limits its certification to the statutory parameters for a Qualified Small Business. Refer to the Kentucky Angel Investment Act Program Fact Sheet for information on Qualified Small Business eligibility criteria.

The Qualified Small Business designation applies only to the Kentucky Angel Investment Act program. Firms on this list may or may not qualify as a small business for other programs with differing small business criteria.

Business Name	Street Address	City	County	Website	Qualified Activity	Most Recent Certification Date	Status
1020 Digital, LLC	360 8th Avenue, Suite 444	Bowling Green	Warren	www.cnx.io	Information Technology & Communications	06/03/2015	Active
3DLT, LLC	535 Madison Avenue, Suite 201	Covington	Kenton	www.3dlit.com	Information Technology & Communications	03/09/2015	Active
Adaptive Technologies, LLC	6001 Claymont Village Drive, Suite 6	Crestwood	Oldham	www.mobilefit.com	Information Technology & Communications	05/14/2015	Active
ALKYMOS, Inc.	1300 Golf Course Circle	Lexington	Fayette	www.alkymos.com	Health & Human Development	05/06/2015	Active
Beach Front Energy Holding Company, LLC	450 S. 3rd St., Suite 204	Louisville	Jefferson	www.beachfrontenergy.com	Environmental & Energy Technology	12/29/2014	Active
Bexion Pharmaceuticals, LLC	632 Russell Street	Covington	Kenton	www.bexionpharma.com	Health & Human Development	06/12/2015	Active
Biological Prospects, LLC	449 Lewis Hargett Circle, Suite 190	Lexington	Fayette	www.biologicalprospects.com	Bioscience	08/31/2015	Active
Blink Technologies Inc.	4101 Tates Creek Centre Dr #150-143	Lexington	Fayette	www.blinkscan.com	Information Technology & Communications	03/20/2015	Active
Blue222 LLC	1209 Witawanga Ave.	Louisville	Jefferson	www.blue222.com	Information Technology & Communications	05/01/2015	Active
Braxton Brewing Company, LLC	27 W 7th St	Covington	Kenton	www.braxtonbrewing.com	Materials Science & Advanced Manufacturing	04/01/2015	Active

Business Name	Street Address	City	County	Website	Qualified Activity	Most Recent Certification Date	Status
Circus Mojo, LLC	326 Elm St	Ludlow	Kenton	www.circusmojo.com	Health & Human Development	12/19/2014	Active
Comfy Cow, LLC	2221 Frankfort Ave	Louisville	Jefferson	www.thecomfycow.com	Materials Science & Advanced Manufacturing	04/23/2015	Active
Community Core LLC	526 Breckenridge Lane	Louisville	Jefferson	www.findcra.com	Information Technology & Communications	02/18/2015	Active
Construction Industry Resources LLC	1880 Hart Road	Lexington	Fayette	www.myclima.com	Information Technology & Communications	03/02/2015	Active
CrossCoat Medical, LLC	1501 Bull Lea Road, Suite 103	Lexington	Fayette	www.crosscoatmedical.com	Health & Human Development	03/16/2015	Active
Dental Exchange Inc.	291 N. Hubbards Lane, Suite 171	Louisville	Jefferson	www.thedentalexchange.com	Information Technology & Communications	02/20/2015	Active
Double Double D, LLC	2205 Global Way	Hebron	Boone	www.paperwaterbottle.com	Advanced Manufacturing & Information Technology & Communications	08/11/2015	Active
Earthineer, LLC	1175 KY Hwy 16	Glencoe	Gallatin	www.earthineer.com	Information Technology & Communications	04/01/2015	Active
eCoach, LLC	1999 Richmond Road, Suite 300	Lexington	Fayette	www.ecoachsports.com	Information Technology & Communications	02/17/2015	Active
Equimarker Analytics Corp.	5837 Woolper Road	Petersburg	Boone	www.equimarkers.com	Bioscience	02/23/2015	Active
FantasyHub LLC	320 Whittington Parkway Ste 215	Louisville	Jefferson	www.fantasyhub.com	Information Technology & Communications	02/17/2015	Active
First Care Holding, LLC	1752 Highway 192 W	London	Laurel	www.firstcareclinics.com	Health & Human Development	06/03/2015	Active
FittingRoom, LLC	201 Price Road Suite 116	Lexington	Fayette	www.westyleapp.com	Information Technology & Communications	01/28/2015	Active
Frogdice, Inc.	1204 Winchester Road, Suite 275	Lexington	Fayette	www.frogdice.com	Information Technology & Communications	04/01/2015	Active
Global Environmental Services, LLC	109 Triport Road Suite 1	Georgetown	Scott	www.gesrecycles.com	Environmental & Energy Technology	02/10/2015	Active
Greenlight CE Partners, L.L.C.	805 Buck Court	Erlanger	Kenton		Other New Economy		
GroomHQ Limited Liability Company	2308 Meadow Rd	Louisville	Jefferson	www.groomhq.com	Knowledge Based Activity	03/16/2015	Active
Gun Media Holdings, Inc.	163 E. Main Street 3rd Floor	Lexington	Fayette	www.fearthegun.com	Information Technology & Communications	05/21/2015	Active
					Information Technology & Communications	08/19/2015	Active

Business Name	Street Address	City	County	Website	Qualified Activity	Most Recent Certification Date	Status
GWBC LLC	530 York St.	Newport	Campbell	www.wiedemannbeer.com	Materials Science & Advanced Manufacturing	04/06/2015	Active
Healthcare Asset Network, Inc.	6006 Waterfall Way, Suite 100	Prospect	Oldham	www.healthcaresetnetwork.com	Information Technology & Communications	01/28/2015	Active
Hera Testing Laboratories, Inc.	535 West Second Street	Lexington	Fayette		Bioscience	02/19/2015	Active
Hippo Manager Software, Inc.	426 Henry Clay Blvd	Lexington	Fayette	http://hippomanager.com	Information Technology & Communications	07/08/2015	Active
Horse Races Now, LLC	828 Lane Allen Rd, Suite 240	Lexington	Fayette	www.horseracesnow.com	Information Technology & Communications	12/15/2014	Active
Inscope Medical Solutions, LLC	1229 S Shelby St	Louisville	Jefferson		Materials Science & Advanced Manufacturing	08/17/2015	Active
Intralink Spine, Inc.	1501 Bull Lea Road, Suite 103	Lexington	Fayette	www.intralinkspine.com	Health & Human Development	03/16/2015	Active
JTM Ventures, L.L.C.	739 Iron Liege Dr	Union	Boone	www.blinkit.co	Information Technology & Communications	02/17/2015	Active
Kandidly Inc.	908 Madison Avenue	Covington	Kenton	http://kandid.ly	Information Technology & Communications	04/13/2015	Active
Kentucky Chia LLC DBA US Chia	1500 River Shore Drive #115	Louisville	Jefferson	www.uschia.com	Other New Economy	03/02/2015	Active
Kinetic Corporation	200 Distillery Commons, Suite 200	Louisville	Jefferson	www.thetechnologyagency.com	Information Technology & Communications	01/30/2015	Active
Know The Na, LLC	2041 Stratford Drive	Owensboro	Daviess	http://knowthena.com	Information Technology & Communications	05/06/2015	Active
Linkedu LLC	112 Pike Street	Covington	Campbell	www.linkedinu.co	Information Technology & Communications	03/02/2015	Active
Lolihips, LLC	908 Madison Ave	Covington	Kenton	www.helloparent.com	Information Technology & Communications	05/20/2015	Active
Lunacy Productions, LLC	6009 Brownsboro Park Blvd Suite G	Louisville	Jefferson		Other New Economy	05/13/2015	Active
MakeTime Inc.	446 E. High St., Ste 110	Lexington	Fayette	www.maketime.io	Knowledge Based Activity	03/20/2015	Active
MEP Equine Solutions, LLC	3905 English Oak Circle	Lexington	Fayette	www.theparasightssystem.com	Information Technology & Communications	02/13/2015	Active
MobileMedTek Holdings, Inc.	1205 E. Washington St., Ste 115	Louisville	Jefferson	http://mobilemedtek.com	Health & Human Development	04/01/2015	Active

Business Name	Street Address	City	County	Website	Qualified Activity	Most Recent Certification Date	Status
New Home Marketing Services, LLC	1708 Grandview Drive	Hebron	Boone	www.newhomemarketingservices.com	Information Technology & Communications	12/30/2014	Active
NX Prenatal Inc.	4350 Brownsboro Rd #110	Louisville	Jefferson	www.nxprenatal.com	Bioscience	01/14/2015	Active
Omveria, LLC	325 West Main St. 3rd Floor	Lexington	Fayette	www.omveria.com	Information Technology & Communications	07/21/2015	Active
Popplr, Inc.	281 Steele Rd	Franklin	Simpson	www.popplr.com	Information Technology & Communications	05/16/2015	Active
PowerTech Water, LLC	1301 Selma Ct	Lexington	Fayette	www.powertechwater.com	Environmental & Energy Technology	05/14/2015	Active
Rabbit Hole Spirits, LLC	1452 Cherokee Rd	Louisville	Jefferson	www.rabbitholedistilling.com	Other New Economy Knowledge Based Activity	07/08/2015	Active
RhinoCyte, Inc.	1044 E. Chestnut St.	Louisville	Jefferson	www.rhinocyte.com	Bioscience	02/17/2015	Active
Saverest Inc.	601 West Short Street	Lexington	Fayette	www.ibuylocalretailers.com	Information Technology & Communications	03/11/2015	Active
Scant Evidence LLC	419 Transylvania Park	Lexington	Fayette	www.scante.net	Information Technology & Communications	07/06/2015	Active
Space Tango, Inc.	200 W Vine St Suite #420	Lexington	Fayette	www.spacetango.com	Other New Economy Knowledge Based Activity	03/16/2015	Active
Stretch Fabrics, LLC	1388 Vidot Ct 100 E. Rivercenter Blvd.	Ft. Wright	Kenton		Materials Science & Advanced Manufacturing	02/11/2015	Active
Tier 1 Accelerated Health, LLC	Ste. 100	Covington	Kenton	www.xldinc.com	Information Technology & Communications	04/16/2015	Active
TopherTronics, LLC DBA GearBrake	1500 River Shore Dr. Suite 234	Louisville	Jefferson	www.gearbrake.com	Advanced Manufacturing & Information Technology	12/19/2014	Active
Travel Notes Incorporated	112 W. Pike Street	Covington	Kenton	www.travelnotes.io	Information Technology & Communications	04/20/2015	Active
Triblue Corporation	1201 Deatsville Rd	Coxs Creek	Nelson	www.triblueengineering.com	Environmental & Energy Technology	06/12/2015	Active
Tryfecta Business Solutions, LLC	2050 Brannon Rd	Nicholasville	Jessamine	www.pawnmetrics.com	Information Technology & Communications	03/20/2015	Active
Vindico NanoBio Technology Inc.	145 Graham Ave., AsteCC Bldg. A169	Lexington	Fayette	www.vindicopharma.com	Bioscience	02/12/2015	Active

Business Name	Street Address	City	County	Website	Qualified Activity	Most Recent Certification Date	Status
Vivorte (DE), Inc.	1044 East Chestnut Street	Louisville	Jefferson	www.vivorte.com	Bioscience	08/03/2015	Active
Waybr, LLC	112 West Pike Street	Covington	Kenton	www.waybr.com	Other New Economy Knowledge Based Activity	04/29/2015	Active

Status Description

- Active - Small Business actively seeking investors
- IA - Closed - Small Business has closed or withdrawn certification
- IA - Max - Small Business has reached the maximum amount of credits allowed for investors





**Kentucky Angel Investment Act
List of Qualified Investors
As of 9/10/2015**

The following individuals have been certified as Qualified Investors under the Kentucky Angel Investment Act (KRS 154.20-230 through 240). To be eligible for tax credit consideration through the Kentucky Angel Investment Act, an individual must be on this list prior to making a Qualified Investment in a Qualified Small Business. The Cabinet for Economic Development does not perform financial screening or due diligence on the individuals listed here and limits its certification to the statutory parameters for a Qualified Investor. Refer to the Kentucky Angel Investment Act Program Fact Sheet for information on Qualified Investor eligibility criteria.

The Qualified Investor designation applies only to the Kentucky Angel Investment Act program. Individuals on this list may or may not qualify as a qualified investor for other programs with differing investor criteria.

Legal Name	Most Recent Certification Date	Status
Absher, Nelea A.	04/23/2015	Active
Allison, Robert William	06/01/2015	Active
Andrews, Robin W.	02/11/2015	Active
Anglin, Richard	02/17/2015	Active
Anzalone, John	05/14/2015	Active
Balbach, Anthony J	05/14/2015	Active
Becker, Mark John	08/03/2015	Active
Beebe, Susan Pollard	04/13/2015	Active
Benz, Laurence N	12/08/2014	Active
Berg, Fran L	01/23/2015	Active
Berger, Bryan C.	02/18/2015	Active
Berger, James Alexander	06/17/2015	Active
Berger, John August	06/30/2015	Active

Legal Name	Most Recent Certification Date	Status
Berning, Brian	02/18/2015	Active
Bickel III, Paul J	05/27/2015	Active
Bingham, Ronald E	05/06/2015	Active
Boyd, James R.	05/21/2015	Active
Bray, Chad	03/13/2015	Active
Bray, Scott	04/22/2015	Active
Bresser, Brett	06/17/2015	Active
Brown, Christina Lee	04/20/2015	Active
Brown, Stephen L	05/14/2015	Active
Burke, Brennan C.	03/02/2015	Active
Butler, William P.	04/22/2015	Active
Cain, David A.	03/03/2015	Active
Cain, John S.	02/17/2015	Active
Cain, Rodney Charles	07/02/2015	Active
Carlson, Gerald J.	05/14/2015	Active
Chan, Richard Tat Lee	01/12/2015	Active
Collins, Andrew C.	07/24/2015	Active
Cooper, Brent	02/18/2015	Active
Cox, Mary Kathleen	06/01/2015	Active
Cundiff, Clarence K.	03/13/2015	Active
Dicken, Kenneth H.	02/11/2015	Active
Dorsey, Scott	01/22/2015	Active
Dube, Richard	02/11/2015	Active
Evans, Richard Edwin	04/17/2015	Active
Fassler, Matt	08/03/2015	Active
Field, Richard Laidley	08/03/2015	Active
Fiorini, Albert Edward	05/16/2015	Active
Flanagan, Matthew	02/12/2015	Active
Foster, Jason Alton	01/23/2015	Active
Fraser, Jr., Malcolm James	01/23/2015	Active
Frey, Robert E.	02/23/2015	Active
Gaffney, Michelle B	07/08/2015	Active
Glasscock, Charles Edward	05/22/2015	Active
Goodnight, David L	05/14/2015	Active
Gramig, Steven B	03/20/2015	Active

Legal Name	Most Recent Certification Date	Status
Grant, Craig D	06/01/2015	Active
Griffin, Toby Andrew	03/13/2015	Active
Guthrie, Timothy F	12/22/2014	Active
Haas, Eric	03/10/2015	Active
Hagan, George	04/15/2015	Active
Halecky, Benedicta	07/06/2015	Active
Hall, John R.	01/13/2015	Active
Hand, Donald Reese	01/20/2015	Active
Hardy, David L.	06/12/2015	Active
Harrell, Chet D.	01/12/2015	Active
Hawse, Alan R	04/28/2015	Active
Heidrich, David P.	01/23/2015	Active
Heller, Elizabeth R.	01/09/2015	Active
Heller, Leonard E.	12/15/2014	Active
Helmers, David L.	03/03/2015	Active
Hertlein, Denice	12/19/2014	Active
Holoubek, Phil	02/11/2015	Active
Hupman, Paul Steve	03/20/2015	Active
Jakobi, Larry Andrew	03/13/2015	Active
Jones, Jeffrey L	05/27/2015	Active
Karlstrom, Anders	01/14/2015	Active
Kautzman, Traci G.	02/18/2015	Active
Kazazian, Jr., Haig H.	02/23/2015	Active
Kelley, Paul J	03/26/2015	Active
Kelmanson, Louis R	12/04/2014	Active
Kienzle, Barry G.	02/18/2015	Active
Kiliddar, Hussein	02/19/2015	Active
King, Darren	06/04/2015	Active
Klunk, Joseph A.	04/17/2015	Active
Knox, David George	01/08/2015	Active
Koch, David A.	01/14/2015	Active
Kuhn, Robert J	01/13/2015	Active
Lackey, Doug	06/17/2015	Active
Lange, Douglas B	02/18/2015	Active
Lapinski, Sterling	02/04/2015	Active

Legal Name	Most Recent Certification Date	Status
Lewis, Rebecca B.	01/05/2015	Active
Logeman, Doug	01/22/2015	Active
Luftman, Brian	01/23/2015	Active
Martin, Gerald R.	02/20/2015	Active
Mayer, John Albert	06/17/2015	Active
McCarty, Ryan W.	02/18/2015	Active
McCarty, William R	02/18/2015	Active
McClelland, Martin	02/12/2015	Active
Meng, Kenneth A	11/21/2014	Active
Meyer, Michael D	02/18/2015	Active
Miller, Jr., Richard C.	12/30/2014	Active
Mlynek, Scott Alan	03/13/2015	Active
Modi, Neelam S.	12/18/2014	Active
Morgan, Hoyt David	03/20/2015	Active
Murdock, Shawn L.	08/05/2015	Active
Murry, Wesley E	05/18/2015	Active
Needham, Philip	02/12/2015	Active
Newman, Jill H.	01/14/2015	Active
Nicholas, Nancy L.	01/22/2015	Active
Nicholas, Thomas L.	05/14/2015	Active
Nixon, Mary	06/12/2015	Active
O'Leary, Sean	01/23/2015	Active
Orzali, Peter J.	02/19/2015	Active
Ostertag, Eric Michael	09/04/2015	Active
Perman, Mark	01/14/2015	Active
Pietromonaco, MaryAnn	06/17/2015	Active
Pitts, Donald Gregory	04/23/2015	Active
Powell, Patrick S	06/15/2015	Active
Ragan, Steven R.	02/05/2015	Active
Rardin, Annie M.	08/19/2015	Active
Rardin, Donnie C.	08/19/2015	Active
Reed, III, W. Earl	01/30/2015	Active
Reilly, Timothy A	07/21/2015	Active
Richardson, Charles Todd	01/08/2015	Active
Richardson, David Tuell	02/12/2015	Active

Legal Name	Most Recent Certification Date	Status
Richardson, Mary Towns	02/11/2015	Active
Robbins, Robert E	06/30/2015	Active
Robey, Ronald G	01/30/2015	Active
Rooker, Daniel Kenyon	08/13/2015	Active
Rothpletz, John Daniel	06/17/2015	Active
Ruffenach, Linda Marie	01/14/2015	Active
Russert, Benjamin J	06/17/2015	Active
Ryan, Shawn	08/03/2015	Active
Salamon, Michael	02/02/2015	Active
Samarasinghe, Lalantha	01/23/2015	Active
Sartori, Paul A.	02/26/2015	Active
Sathe, Andrew David	08/03/2015	Active
Sathe, Robert B.	02/18/2015	Active
Saunders, Robert S.	01/22/2015	Active
Schackel, Eric L.	01/12/2015	Active
Schackel, Richard L.	01/12/2015	Active
Scheper, Charles R.	05/17/2015	Active
Schiowitz, Robert	01/08/2015	Active
Schlotman, John Michael	06/17/2015	Active
Schlotman, Kyle	06/17/2015	Active
Schnatter, Charles W	02/23/2015	Active
Schroeder, Gregory L.	03/13/2015	Active
Schy, Tony	04/01/2015	Active
Self, Thomas Benjamin	03/03/2015	Active
Sherlock, Isaac Walter	06/30/2015	Active
Short, Jeffrey William	03/26/2015	Active
Siegrist, John Thomas	08/11/2015	Active
Sirchio, John Kristin	08/25/2015	Active
Sleet, Harry	08/17/2015	Active
Smith, Stewart A	04/22/2015	Active
Steiden, Eric A.	06/17/2015	Active
Steinbock, Robert T	04/01/2015	Active
Stephany, Florine G	03/16/2015	Active
Strange, John Eric	08/21/2015	Active
Streepey, Ben M	03/20/2015	Active

Legal Name	Most Recent Certification Date	Status
Strench, William G	06/08/2015	Active
Sweeney, Edward L.	03/04/2015	Active
Switzer, Richard W.	06/30/2015	Active
Tavakoli, Ron	01/20/2015	IA - Max
Toomey, Hal W	05/14/2015	Active
Topits, John R.	08/13/2015	Active
Trager, Steven E.	04/13/2015	Active
Trumbull, R. Scott	01/14/2015	Active
Ulmer, Daniel Conrad	06/01/2015	Active
Ulmer, Gary C	06/01/2015	Active
Valenzano, Kenneth J.	01/08/2015	Active
Veith, Terrence Michael	12/30/2014	Active
Verst, Paul T.	08/20/2015	Active
Vogele, Matthew	07/02/2015	Active
Walker, Winston Glenn	01/08/2015	Active
Ward, George D	03/06/2015	Active
Watts, Bobby	08/03/2015	Active
Wersching, James P.	07/02/2015	Active
Wesdorp, Benet Earl	08/12/2015	Active
Wheeler, Mark Randall	06/08/2015	Active
Wilkins, Todd Christopher	08/03/2015	Active
Wiseman, Elizabeth Ulmer	06/01/2015	Active
Wood, Phoebe A.	12/04/2014	Active
Wright, Creighton B.	01/30/2015	Active
Wulfeck, Andrew	02/18/2015	Active
Young, Christopher H	06/05/2015	Active
Zalla, William R.	01/30/2015	Active
Zapp, Bradley R.	03/20/2015	Active
Zapp, Robert	02/17/2015	Active
Zhan, Chang-Guo	01/30/2015	Active

Status Description

- Active - Investors considering making investments
- IA - Closed - Investor has withdrawn certification
- IA - Max - Investor has reached maximum amount of credits allowed for the calendar year





**Kentucky Angel Investment Act
Investment Summary 2015
Updated as of 9/28/2015**

Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
1020 Digital, LLC	Allison, Robert William	Warren	Information Technology & Communications	06/25/2015	Active - Investment Made	\$50,000	\$50,000	\$20,000
1020 Digital, LLC	Bickel III, Paul J Cox, Mary	Warren	Information Technology & Communications	06/25/2015	Active - Investment Made	\$100,000	\$100,000	\$40,000
1020 Digital, LLC	Kathleen Glasscock,	Warren	Information Technology & Communications	06/25/2015	Active - Investment Made	\$100,000	\$100,000	\$40,000
1020 Digital, LLC	Charles Edward	Warren	Information Technology & Communications	06/25/2015	Active - Investment Made	\$400,000	\$400,000	\$160,000
1020 Digital, LLC	Grant, Craig D	Warren	Information Technology & Communications	06/25/2015	Active - Investment Made	\$50,000	\$50,000	\$20,000
1020 Digital, LLC	Hardy, David L	Warren	Information Technology & Communications	06/25/2015	Active - Investment Made	\$50,000	\$50,000	\$20,000
1020 Digital, LLC	Nixon, Mary Ulmer, Daniel	Warren	Information Technology & Communications	06/25/2015	Active - Investment Made	\$50,000	\$50,000	\$20,000
1020 Digital, LLC	Conrad	Warren	Information Technology & Communications	06/25/2015	Active - Investment Made	\$100,000	\$100,000	\$40,000
1020 Digital, LLC	Ulmer, Gary C Wheeler, Mark	Warren	Information Technology & Communications	06/25/2015	Active - Investment Made	\$100,000	\$100,000	\$40,000
1020 Digital, LLC	Randall Wiseman,	Warren	Information Technology & Communications	06/25/2015	Active - Investment Made	\$100,000	\$75,000	\$30,000
1020 Digital, LLC	Elizabeth Ulmer	Warren	Information Technology & Communications	06/25/2015	Active - Investment Made	\$100,000	\$100,000	\$40,000
3DLT, LLC	Bray, Chad Collins, Andrew C.	Kenton	Information Technology & Communications	03/26/2015	IA - Ineligible	\$10,000	\$0	\$0
3DLT, LLC		Kenton	Information Technology & Communications	03/26/2015	IA - Ineligible	\$10,000	\$0	\$0
3DLT, LLC	Haas, Eric	Kenton	Information Technology & Communications	03/26/2015	IA - Ineligible	\$20,000	\$0	\$0
3DLT, LLC	Hagan, George	Kenton	Information Technology & Communications	03/26/2015	IA - Ineligible	\$25,000	\$0	\$0

Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
3DLT, LLC	Klunk, Joseph A.	Kenton	Information Technology & Communications	01/29/2015	IA - Ineligible	\$50,000	\$0	\$0
3DLT, LLC	Murdock, Shawn L.	Kenton	Information Technology & Communications	03/26/2015	IA - Ineligible	\$10,000	\$0	\$0
3DLT, LLC	Sartori, Paul A.	Kenton	Information Technology & Communications	03/26/2015	IA - Ineligible	\$10,000	\$0	\$0
3DLT, LLC	Scheper, Charles R.	Kenton	Information Technology & Communications	03/26/2015	IA - Ineligible	\$25,000	\$0	\$0
3DLT, LLC	Zapp, Bradley R.	Kenton	Information Technology & Communications	03/26/2015	IA - Ineligible	\$10,000	\$0	\$0
Alkymos, Inc.	Fiorini, Albert	Fayette	Health & Human Development	05/28/2015	Active - Investment Made	\$35,000	\$35,000	\$14,000
Alkymos, Inc.	Halecky, Benedicta	Fayette	Health & Human Development	02/26/2015	Active - Investment Made	\$50,000	\$50,000	\$20,000
Alkymos, Inc.	Kuhn, Robert J.	Fayette	Health & Human Development	01/29/2015	Active - Investment Made	\$10,000	\$10,000	\$4,000
Alkymos, Inc.	Needham, Philip Nicholas,	Fayette	Health & Human Development	02/26/2015	Active - Investment Made	\$50,000	\$50,000	\$20,000
Alkymos, Inc.	Thomas L. Zhan, Chang-Guo	Fayette	Health & Human Development	01/29/2015	Active - Investment Made	\$15,000	\$15,000	\$6,000
Alkymos, Inc.	Guo	Fayette	Health & Human Development	02/26/2015	Active - Investment Made	\$30,000	\$30,000	\$12,000
Beach Front Energy Holding Company, LLC	Perman, Mark	Jefferson	Environmental & Energy Technology	01/29/2015	Active - Investment Made	\$150,000	\$150,000	\$60,000
Braxton Brewing Company, LLC	Dorsey, Scott	Kenton	Materials Science & Advanced Manufacturing	01/29/2015	IA - Ineligible	\$100,000	\$0	\$0
Braxton Brewing Company, LLC	Dube, Richard	Kenton	Materials Science & Advanced Manufacturing	02/26/2015	Active - Investment Made	\$10,000	\$10,000	\$4,000
Braxton Brewing Company, LLC	Flanagan, Matthew	Kenton	Materials Science & Advanced Manufacturing	02/26/2015	IA - Ineligible	\$25,000	\$0	\$0
Braxton Brewing Company, LLC	Foster, Jason	Kenton	Materials Science & Advanced Manufacturing	02/26/2015	IA - Ineligible	\$15,000	\$0	\$0
Braxton Brewing Company, LLC	Alton	Kenton	Materials Science & Advanced Manufacturing	02/26/2015	Active - Investment Made	\$25,185	\$25,185	\$10,074
Braxton Brewing Company, LLC	Hertlein, Denice	Kenton	Materials Science & Advanced Manufacturing	01/29/2015	Active - Investment Made	\$10,000	\$10,000	\$4,000
Braxton Brewing Company, LLC	Knox, David George	Kenton	Materials Science & Advanced Manufacturing	01/29/2015	Active - Investment Made	\$25,000	\$25,000	\$10,000
Braxton Brewing Company, LLC	Modi, Neelam S.	Kenton	Materials Science & Advanced Manufacturing	01/29/2015	Active - Investment Made	\$25,000	\$25,000	\$10,000

Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
Braxton Brewing Company, LLC	Orzali, Peter J.	Kenton	Materials Science & Advanced Manufacturing	02/26/2015	Active - Investment	\$20,000	\$20,000	\$8,000
Braxton Brewing Company, LLC	Richardson, Charles Todd	Kenton	Materials Science & Advanced Manufacturing	01/29/2015	Made	\$15,000	\$15,000	\$6,000
Braxton Brewing Company, LLC	Short, Jeffrey	Kenton	Materials Science & Advanced Manufacturing	04/30/2015	Active - Investment	\$15,000	\$15,000	\$6,000
Braxton Brewing Company, LLC	Veith, Terrence	Kenton	Materials Science & Advanced Manufacturing	01/29/2015	IA - Ineligible	\$10,000	\$0	\$0
Comfy Cow, LLC	Michael	Kenton	Materials Science & Advanced Manufacturing	04/30/2015	Active - Investment	\$50,000	\$50,000	\$20,000
Comfy Cow, LLC	Absher, Nelea A.	Jefferson	Materials Science & Advanced Manufacturing	04/30/2015	Made	\$100,000	\$0	\$0
Comfy Cow, LLC	Brown, Christina	Jefferson	Materials Science & Advanced Manufacturing	04/30/2015	IA - Ineligible	\$100,000	\$0	\$0
Comfy Cow, LLC	Lee	Jefferson	Materials Science & Advanced Manufacturing	04/30/2015	Active - Investment	\$100,000	\$100,000	\$40,000
Dental Exchange Inc.	Evans, Richard	Jefferson	Materials Science & Advanced Manufacturing	04/30/2015	Made	\$35,000	\$0	\$0
Dental Exchange Inc.	Edwin	Jefferson	Information Technology & Communications	02/26/2015	IA - Ineligible	\$35,000	\$0	\$0
Dental Exchange Inc.	Martin, Gerald	Jefferson	Information Technology & Communications	01/29/2015	Active - Investment	\$35,000	\$35,000	\$14,000
Dental Exchange Inc.	R.	Jefferson	Information Technology & Communications	02/26/2015	Made	\$250,000	\$250,000	\$100,000
Double Double D, LLC	Newman, Jill H.	Jefferson	Materials Science & Advanced Manufacturing	02/26/2015	Active - Investment	\$100,000	\$100,000	\$40,000
Double Double D, LLC	Anglin, Richard	Boone	Materials Science & Advanced Manufacturing	08/27/2015	Investment	\$10,000	\$0	\$0
Double Double D, LLC	Rooker, Daniel	Boone	Information Technology & Communications	03/26/2015	Made	\$10,000	\$10,000	\$4,000
Earthineer, LLC	Kenyon	Gallatin	Information Technology & Communications	04/30/2015	Active - Investment	\$10,000	\$10,000	\$4,000
Earthineer, LLC	Morgan, Hoyt	Gallatin	Information Technology & Communications	03/26/2015	Made	\$10,000	\$10,000	\$4,000
Earthineer, LLC	David	Gallatin	Information Technology & Communications	03/26/2015	Active - Investment	\$12,500	\$12,500	\$5,000
Earthineer, LLC	Schy, Tony	Gallatin	Information Technology & Communications	03/26/2015	Made	\$250,000	\$75,000	\$30,000
Earthineer, LLC	Self, Thomas	Gallatin	Information Technology & Communications	03/26/2015	Active - Investment	\$50,000	\$50,000	\$20,000
Earthineer, LLC	Benjamin Young,	Gallatin	Information Technology & Communications	03/26/2015	Made	\$250,000	\$250,000	\$100,000
Earthineer, LLC	Christopher H	Gallatin	Information Technology & Communications	03/26/2015	Made	\$100,000	\$100,000	\$40,000
First Care Holding, LLC	Carlton, Gerald J.	Laurel	Health & Human Development	05/28/2015	Active - Investment	\$75,000	\$75,000	\$30,000
First Care Holding, LLC	Hardy, David L	Laurel	Health & Human Development	06/25/2015	Made	\$50,000	\$50,000	\$20,000
First Care Holding, LLC	Jones, Jeffrey L	Laurel	Health & Human Development	06/25/2015	Active - Investment	\$250,000	\$250,000	\$100,000
First Care Holding, LLC	King, Darren	Laurel	Health & Human Development	04/30/2015	Made	\$100,000	\$100,000	\$40,000

Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
First Care Holding, LLC	King, Darren	Laurel	Health & Human Development	06/25/2015	IA - Ineligible	\$50,000	\$0	\$0
First Care Holding, LLC	Pitts, Donald	Laurel	Health & Human Development	04/30/2015	Active - Investment Made	\$150,000	\$120,000	\$48,000
First Care Holding, LLC	Strench, William G	Laurel	Health & Human Development	06/25/2015	Active - Investment Made	\$40,000	\$40,000	\$16,000
Frogdice, Inc.	Cundiff, Clarence K.	Fayette	Information Technology & Communications	03/26/2015	Active - Investment Made	\$10,000	\$10,000	\$4,000
Frogdice, Inc.	Glasscock, C. Edward	Fayette	Information Technology & Communications	03/26/2015	Active - Investment Made	\$75,000	\$75,000	\$30,000
Frogdice, Inc.	Gramig, Steven B	Fayette	Information Technology & Communications	03/26/2015	Active - Investment Made	\$10,000	\$10,000	\$4,000
Frogdice, Inc.	Hupman, Paul	Fayette	Information Technology & Communications	03/26/2015	Active - Investment Made	\$10,000	\$10,000	\$4,000
Frogdice, Inc.	Steve	Fayette	Information Technology & Communications	03/26/2015	Active - Investment Made	\$15,000	\$15,000	\$6,000
Frogdice, Inc.	Jakobi, Larry	Fayette	Information Technology & Communications	03/26/2015	Active - Investment Made	\$10,000	\$10,000	\$4,000
Frogdice, Inc.	Andrew	Fayette	Information Technology & Communications	03/26/2015	Active - Investment Made	\$10,000	\$10,000	\$4,000
Frogdice, Inc.	Kelley, Paul J	Fayette	Information Technology & Communications	04/30/2015	Active - Investment Made	\$50,000	\$48,000	\$19,200
Frogdice, Inc.	Klunk, Joseph A.	Fayette	Information Technology & Communications	04/30/2015	Active - Investment Made	\$20,000	\$20,000	\$8,000
Frogdice, Inc.	Smith, Stewart A	Fayette	Information Technology & Communications	03/26/2015	Active - Investment Made	\$25,000	\$25,000	\$10,000
Frogdice, Inc.	Strench, William G	Fayette	Information Technology & Communications	03/26/2015	Active - Investment Made	\$12,000	\$12,000	\$4,800
Frogdice, Inc.	Sweeney, Edward L.	Fayette	Information Technology & Communications	03/26/2015	Active - Investment Made	\$10,000	\$10,000	\$4,000
Frogdice, Inc.	Young, Christopher H	Fayette	Information Technology & Communications	04/30/2015	Active - Investment Made	\$125,000	\$125,000	\$50,000
Global Environmental Services, LLC	Halecky, Benedicta	Scott	Environmental & Energy Technology	02/26/2015	Active - Investment Made	\$125,000	\$125,000	\$50,000
Global Environmental Services, LLC	Needham, Philip	Scott	Environmental & Energy Technology	02/26/2015	Active - Investment Made	\$60,000	\$60,000	\$24,000
Greenlight CE Partners, L.L.C.	Stephany, Florine G	Kenton	Other New Economy Knowledge Based Activity	03/26/2015	Active - Investment Made	\$18,071	\$18,071	\$7,228
Gun Media Holdings, Inc.	Rardin, Annie M.	Fayette	Information Technology & Communications	08/27/2015	Active - Awaiting Investment	\$25,000		\$10,000
Gun Media Holdings, Inc.	Rardin, Annie M.	Fayette	Information Technology & Communications	09/24/2015	Active - Awaiting Investment			

Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
Gun Media Holdings, Inc. C.	Rardin, Donnie	Fayette	Information Technology & Communications	08/27/2015	Active - Awaiting Investment	\$18,072		\$7,229
Gun Media Holdings, Inc. C.	Rardin, Donnie	Fayette	Information Technology & Communications	09/24/2015	Active - Awaiting Investment	\$25,000		\$10,000
Gun Media Holdings, Inc.	Self, Thomas Benjamin Young,	Fayette	Information Technology & Communications	06/25/2015	Active - Investment Made	\$25,000	\$25,000	\$10,000
Gun Media Holdings, Inc.	Christopher H Young,	Fayette	Information Technology & Communications	06/25/2015	Active - Investment Made	\$100,000	\$100,000	\$40,000
Healthcare Asset Network, Inc.	Klunk, Joseph A.	Oldham	Information Technology & Communications	01/29/2015	Active - Investment Made	\$100,000	\$100,000	\$40,000
Healthcare Asset Network, Inc.	Lapinski, Sterling	Oldham	Information Technology & Communications	02/26/2015	Active - Investment Made	\$25,000	\$25,000	\$10,000
Healthcare Asset Network, Inc.	Ruffenach, Linda Marie	Oldham	Information Technology & Communications	02/26/2015	Active - Investment Made	\$30,000	\$30,000	\$12,000
Healthcare Asset Network, Inc.	Trumbull, R. Scott	Oldham	Information Technology & Communications	01/29/2015	Active - Investment Made	\$200,000	\$200,000	\$80,000
Hera Testing Laboratories, Inc.	Fraser, Jr., Malcolm James	Fayette	Bioscience	01/29/2015	Active - Investment Made	\$30,000	\$30,000	\$12,000
Hera Testing Laboratories, Inc.	Hall, John R.	Fayette	Bioscience	01/29/2015	Active - Investment Made	\$70,000	\$70,000	\$28,000
Hera Testing Laboratories, Inc.	Kazazian, Jr., Haig H.	Fayette	Bioscience	02/26/2015	Active - Investment Made	\$20,000	\$20,000	\$8,000
Hera Testing Laboratories, Inc.	Kiliddar, Hussein	Fayette	Bioscience	02/26/2015	Active - Investment Made	\$50,000	\$50,000	\$20,000
Hera Testing Laboratories, Inc.	King, Darren Lewis, Rebecca B.	Fayette	Bioscience	01/29/2015	Active - Investment Made	\$50,000	\$50,000	\$20,000
Hera Testing Laboratories, Inc.	Luffman, Brian McClelland, Martin	Fayette	Bioscience	01/29/2015	Active - Investment Made	\$25,000	\$25,000	\$10,000
Hera Testing Laboratories, Inc.	Robey, Ronald G Saunders,	Fayette	Bioscience	02/26/2015	Active - Investment Made	\$25,000	\$25,000	\$10,000
Hera Testing Laboratories, Inc.	Robert S. Schiowitz,	Fayette	Bioscience	01/29/2015	Active - Investment Made	\$25,000	\$25,000	\$10,000
Hera Testing Laboratories, Inc.	Robert	Fayette	Bioscience	01/29/2015	Active - Investment Made	\$25,000	\$25,000	\$10,000

Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
Hera Testing Laboratories, Inc.	Smith, Stewart A	Fayette	Bioscience	02/26/2015	Active - Investment Made	\$25,000	\$25,000	\$10,000
Hera Testing Laboratories, Inc.	Tavakoli, Ron Young,	Fayette	Bioscience	01/29/2015	Active - Investment Made	\$250,000	\$250,000	\$100,000
Hera Testing Laboratories, Inc.	Christopher H	Fayette	Bioscience	01/29/2015	Active - Investment Made	\$50,000	\$50,000	\$20,000
Kinetic Corporation	Ragan, Steven R.	Jefferson	Information Technology & Communications	02/26/2015	IA - Ineligible	\$250,000	\$0	\$0
Kinetic Corporation	Richardson, David Tuell	Jefferson	Information Technology & Communications	02/26/2015	IA - Ineligible	\$500,000	\$0	\$0
Kinetic Corporation	Richardson, Mary Towns	Jefferson	Information Technology & Communications	02/26/2015	IA - Ineligible	\$500,000	\$0	\$0
Koogee LLC	Absher, Nelea A.	Jefferson	Materials Science & Advanced Manufacturing	03/26/2015	IA - Ineligible	\$25,000	\$0	\$0
Lolihops, LLC	Klunk, Joseph A.	Kenton	Information Technology & Communications	03/26/2015	Active - Investment Made	\$50,000	\$40,000	\$16,000
Lolihops, LLC	Topits, John R.	Kenton	Information Technology & Communications	05/28/2015	Active - Awaiting Investment	\$50,000	\$20,000	\$20,000
Lolihops, LLC	Verst, Paul T.	Kenton	Information Technology & Communications	05/28/2015	Active - Awaiting Investment	\$10,000	\$4,000	\$4,000
Lunacy Productions, LLC	Anzalone, John Beebe, Susan	Jefferson	Other New Economy Knowledge Based Activity	05/28/2015	Active - Investment Made	\$50,000	\$50,000	\$20,000
Lunacy Productions, LLC	Pollard	Jefferson	Other New Economy Knowledge Based Activity	04/30/2015	Active - Investment Made	\$100,000	\$100,000	\$40,000
Lunacy Productions, LLC	Reed, III, W. Earl	Jefferson	Other New Economy Knowledge Based Activity	02/26/2015	Active - Investment Made	\$50,000	\$50,000	\$20,000
Lunacy Productions, LLC	Trager, Steven E.	Jefferson	Other New Economy Knowledge Based Activity	01/29/2015	IA - Ineligible	\$50,000	\$0	\$0
Lunacy Productions, LLC	Trager, Steven E.	Jefferson	Other New Economy Knowledge Based Activity	04/30/2015	Active - Investment Made	\$50,000	\$50,000	\$20,000
MEP Equine Solutions, LLC	Andrews, Robin W.	Fayette	Bioscience	02/26/2015	Active - Investment Made	\$15,000	\$15,000	\$6,000
MEP Equine Solutions, LLC	Chan, Richard	Fayette	Bioscience	01/29/2015	Active - Investment Made	\$121,599	\$121,599	\$48,640
MEP Equine Solutions, LLC	Guthrie, Timothy F	Fayette	Bioscience	01/29/2015	Active - Investment Made	\$13,845	\$13,845	\$5,538
MEP Equine Solutions, LLC	Hand, Donald Reese	Fayette	Bioscience	01/29/2015	Active - Investment Made	\$10,000	\$10,000	\$4,000

Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
MEP Equine Solutions, LLC	Harrell, Chet D.	Fayette	Bioscience	01/29/2015	Active - Investment	\$35,000	\$35,000	\$14,000
MEP Equine Solutions, LLC	Heller, Elizabeth R.	Fayette	Bioscience	01/29/2015	Made	\$50,000	\$50,000	\$20,000
MEP Equine Solutions, LLC	Karlstrom, Anders	Fayette	Bioscience	01/29/2015	IA - Ineligible	\$20,020	\$0	\$0
MEP Equine Solutions, LLC	Schackel, Eric L.	Fayette	Bioscience	01/29/2015	Active - Investment	\$15,100	\$15,100	\$6,040
MEP Equine Solutions, LLC	Schackel, Richard L.	Fayette	Bioscience	01/29/2015	Made	\$42,000	\$42,000	\$16,800
MEP Equine Solutions, LLC	Valenzano, Kenneth J.	Fayette	Bioscience	01/29/2015	Active - Investment	\$65,000	\$65,000	\$26,000
MEP Equine Solutions, LLC	Walker, Winston Glenn	Fayette	Bioscience	01/29/2015	Made	\$46,751	\$46,751	\$18,700
MEP Equine Solutions, LLC	Young, Christopher H	Fayette	Bioscience	01/29/2015	Active - Investment	\$10,003	\$10,002	\$4,001
MobileMedTek Holdings, Inc.	Steinbock, Robert T	Jefferson	Health & Human Development	04/30/2015	Made	\$50,000	\$50,000	\$20,000
NX Prenatal Inc.	Lapinski, Sterling	Jefferson	Bioscience	01/29/2015	IA - Ineligible	\$50,000	\$0	\$0
Omveria, LLC	Balbach, Anthony J	Fayette	Information Technology & Communications	05/28/2015	Active - Investment	\$25,000	\$25,000	\$10,000
Omveria, LLC	Brown, Stephen L	Fayette	Information Technology & Communications	05/28/2015	Made	\$25,000	\$25,000	\$10,000
Omveria, LLC	Fiorini, Albert Edward	Fayette	Information Technology & Communications	05/28/2015	Active - Investment	\$25,000	\$25,000	\$10,000
Omveria, LLC	Nicholas, Thomas L.	Fayette	Information Technology & Communications	05/28/2015	Made	\$25,000	\$25,000	\$10,000
Omveria, LLC	Sherlock, Isaac Walter	Fayette	Information Technology & Communications	07/30/2015	Active - Investment	\$25,000	\$25,000	\$10,000
Omveria, LLC	Smith, Stewart A	Fayette	Information Technology & Communications	09/24/2015	Made	\$25,000	\$25,000	\$10,000
Popplr, Inc.	Steinbock, Robert T	Simpson	Information Technology & Communications	04/30/2015	Active - Awaiting Investment	\$50,000	\$50,000	\$20,000
Popplr, Inc.	Toomey, Hal W	Simpson	Information Technology & Communications	05/28/2015	Made	\$30,000	\$30,000	\$12,000
PowerTech Water, LLC	Boyd, James R.	Fayette	Environmental & Energy Technology	05/28/2015	Active - Investment	\$20,000	\$20,000	\$8,000

Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
PowerTech Water, LLC	Goodnight, David L	Fayette	Environmental & Energy Technology	05/28/2015	Active - Investment Made	\$10,000	\$10,000	\$4,000
PowerTech Water, LLC	Murry, Wesley E Young,	Fayette	Environmental & Energy Technology	05/28/2015	Active - Investment Made	\$10,000	\$10,000	\$4,000
PowerTech Water, LLC	Christopher H	Fayette	Environmental & Energy Technology	03/26/2015	Active - Investment Made	\$20,000	\$20,000	\$8,000
Saverest Inc.	Smith, Stewart A Bingham, Ronald	Fayette	Information Technology & Communications	03/26/2015	Active - Investment Made	\$40,000	\$40,000	\$16,000
Scant Evidence LLC	E Halecky,	Fayette	Information Technology & Communications	05/28/2015	Active - Investment Made	\$10,000	\$10,000	\$4,000
Scant Evidence LLC	Benedicta	Fayette	Information Technology & Communications	07/30/2015	Active - Investment Made	\$15,000	\$15,000	\$6,000
Scant Evidence LLC	Powell, Patrick S Young,	Fayette	Information Technology & Communications	06/25/2015	Active - Investment Made	\$10,000	\$10,000	\$4,000
Scant Evidence LLC	Christopher H	Fayette	Information Technology & Communications	03/26/2015	IA - Ineligible	\$25,000	\$0	\$0
SignSmith Technologies, LLC	Smith, Stewart A	Fayette	Information Technology & Communications	02/26/2015	Active - Investment Made	\$50,000	\$50,000	\$20,000
SignSmith Technologies, LLC	Smith, Stewart A	Fayette	Information Technology & Communications	04/30/2015	Active - Investment Made	\$50,000	\$50,000	\$20,000
Stretch Fabrics, LLC	Zaila, William R.	Kenton	Materials Science & Advanced Manufacturing	02/26/2015	Active - Investment Made	\$80,000	\$80,000	\$32,000
Tier 1 Accelerated Health, LLC.	Bray, Scott	Kenton	Information Technology & Communications	01/29/2015	IA - Ineligible	\$20,000	\$0	\$0
Tier 1 Accelerated Health, LLC.	Bray, Scott	Kenton	Information Technology & Communications	04/30/2015	Active - Investment Made	\$20,000	\$20,000	\$8,000
Tier 1 Accelerated Health, LLC.	Butler, William P.	Kenton	Information Technology & Communications	04/30/2015	Active - Investment Made	\$70,000	\$70,000	\$28,000
Tier 1 Accelerated Health, LLC.	Cain, John S.	Kenton	Information Technology & Communications	02/26/2015	Active - Investment Made	\$20,000	\$20,000	\$8,000
Tier 1 Accelerated Health, LLC.	Collins, Andrew C.	Kenton	Information Technology & Communications	02/26/2015	Active - Investment Made	\$20,000	\$20,000	\$8,000
Tier 1 Accelerated Health, LLC.	Hagan, George	Kenton	Information Technology & Communications	01/29/2015	IA - Ineligible	\$46,875	\$0	\$0
Tier 1 Accelerated Health, LLC.	Hagan, George	Kenton	Information Technology & Communications	04/30/2015	Active - Investment Made	\$46,875	\$46,875	\$18,750
Tier 1 Accelerated Health, LLC.	Sathe, Robert B.	Kenton	Information Technology & Communications	04/30/2015	Active - Investment Made	\$50,000	\$50,000	\$20,000

Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
Tier 1 Accelerated Health, LLC.	Scheper, Charles R.	Kenton	Information Technology & Communications	01/29/2015	IA - Ineligible	\$50,000	\$0	\$0
Tier 1 Accelerated Health, LLC.	Scheper, Charles R.	Kenton	Information Technology & Communications	04/30/2015	Made	\$50,000	\$50,000	\$20,000
Tier 1 Accelerated Health, LLC.	Wright, Creighton B.	Kenton	Information Technology & Communications	02/26/2015	Made	\$25,000	\$25,000	\$10,000
Tier 1 Accelerated Health, LLC.	Zapp, Robert Fraser, Jr.	Kenton	Information Technology & Communications	02/26/2015	Made	\$20,000	\$20,000	\$8,000
Vindico NanoBio Technology Inc.	Malcolm James	Fayette	Bioscience	01/29/2015	Made	\$30,000	\$30,000	\$12,000
Vindico NanoBio Technology Inc.	Hall, John R.	Fayette	Bioscience	01/29/2015	Made	\$70,000	\$70,000	\$28,000
Vindico NanoBio Technology Inc.	Kiliddar, Hussein Lewis, Rebecca B.	Fayette	Bioscience	02/26/2015	Made	\$50,000	\$50,000	\$20,000
Vindico NanoBio Technology Inc.	Fayette	Fayette	Bioscience	01/29/2015	Made	\$25,000	\$25,000	\$10,000
Vindico NanoBio Technology Inc.	Luftman, Brian Miller, Jr., Richard C.	Fayette	Bioscience	01/29/2015	Made	\$25,000	\$25,000	\$10,000
Vindico NanoBio Technology Inc.	Robey, Ronald G	Fayette	Bioscience	02/26/2015	Made	\$25,000	\$25,000	\$10,000
Vindico NanoBio Technology Inc.	Samarasinghe, Lalantha	Fayette	Bioscience	01/29/2015	Made	\$200,000	\$200,000	\$80,000
Vindico NanoBio Technology Inc.	Saunders, Robert S.	Fayette	Bioscience	01/29/2015	Made	\$25,000	\$25,000	\$10,000
Vindico NanoBio Technology Inc.	Schiowitz, Robert	Fayette	Bioscience	01/29/2015	Made	\$25,000	\$25,000	\$10,000
Vindico NanoBio Technology Inc.	Smith, Stewart A Sweeney, Edward L.	Fayette	Bioscience	01/29/2015	Made	\$25,000	\$25,000	\$10,000
Vindico NanoBio Technology Inc.	Tavakoli, Ron Young, Christopher H	Fayette	Bioscience	01/29/2015	Made	\$250,000	\$250,000	\$100,000
Vindico NanoBio Technology Inc.	Collins, Andrew C.	Fayette	Bioscience	01/29/2015	Made	\$150,000	\$150,000	\$60,000
Vivorte (DE), Inc.	Jefferson	Jefferson	Bioscience	07/30/2015	Made	\$20,000	\$20,000	\$8,000

Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
Vivorte (DE), Inc.	Murdock, Shawn L.	Jefferson	Bioscience	08/27/2015	Active - Awaiting Investment	\$10,000		\$4,000
Vivorte (DE), Inc.	Reilly, Timothy A	Jefferson	Bioscience	07/30/2015	Made	\$25,000	\$25,000	\$10,000
Vivorte (DE), Inc.	Siegrist, John Thomas	Jefferson	Bioscience	08/27/2015	Active - Awaiting Investment	\$20,000		\$8,000
Vivorte (DE), Inc.	Topits, John R.	Jefferson	Bioscience	07/30/2015	Made	\$11,143	\$11,143	\$4,457
Vivorte (DE), Inc.	Topits, John R.	Jefferson	Bioscience	08/27/2015	Active - Awaiting Investment	\$13,857		\$5,543
Vivorte (DE), Inc.	Verst, Paul T.	Jefferson	Bioscience	08/27/2015	Active - Awaiting Investment	\$10,000		\$4,000
Vivorte (DE), Inc.	Zapp, Robert	Jefferson	Bioscience	07/30/2015	Made	\$50,000	\$25,000	\$10,000
KAIA Total*						\$9,783,896	\$7,149,998	\$2,990,000
KIFA Allocation**								\$24,665,672
Grand Total***								\$27,655,672

* The total amount of tax credits available for the Kentucky Angel Investment Act program shall not exceed \$3,000,000 per calendar year.

** Credits approved through the Kentucky Investment Fund Act.

*** The total amount of tax credits awarded for both the Kentucky Angel Investment Act and the Kentucky Investment Fund Act cannot exceed \$40,000,000 for all years.

Status Description:

- *Active - Awaiting Investment* - Investment application has been approved by KEDFA but investment not yet confirmed.
- *Active - Investment Made* - Investor made the qualified investment and submitted sufficient proof by the required deadlines.
- *IA - Ineligible*- Investor failed to make the investment or submit sufficient documentation by the required deadlines.
- *IA - Reporting Complete* - The qualified small business has met all reporting requirements, and the investment is closed.
- *IA - Failure to Report* - The qualified small business failed to meet the reporting requirements.
- *IA - Withdraw* - The investor requested to withdraw.